



Attorney's Docket No.: 07977-005004 / US2937/2962/3196D1D1D1

DEP-1/REF

PATE. 11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki, et al.  
Serial No. : 10/754,702  
Filed : January 12, 2004  
Title : ACTIVE MATRIX DISPLAY AND FORMING METHOD THEREOF

Art Unit : 2871  
Examiner : Kenneth Parker

2005 SEP 23 11 33 AM

US PATENT & TRADEMARK  
OFFICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


REQUEST FOR REFUND

On or about August 12, 2005, Applicants paid a \$180 fee for an Information Disclosure Statement (IDS) by way of an enclosed check in error. At the time of submission of the IDS, an Office Action imposing a restriction requirement had been received. However, no first Office Action *on the merits of the claims* had been received. Accordingly, in accordance with 37 CFR 1.97, no IDS fee should have been paid.

Pursuant to 37 CFR 1.26, Applicants, therefore, respectfully request that the overcharge amount be refunded to Fish & Richardson's Deposit Account No. 06-1050 as a credit.

Respectfully submitted,

Date: 9/22/05

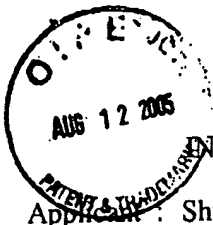
  
Roberto J. Devoto  
Reg. No. 55,108

Fish & Richardson P.C.  
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Applicant : Shunpei Yamazaki, et al. Art Unit : 2871  
 Serial No. : 10/754,702 Examiner : Kenneth Parker  
 Filed : January 12, 2004  
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**MAIL STOP AMENDMENT**

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§1.97 AND 1.98**

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

Supplemental to the Information Disclosure Statement filed on May 12, 2005, Applicants submit a full translation of Japan Patent No. 62-065678, which was published on April 23, 1987. A copy of the reference listed on the attached form PTO-1449 is enclosed.

Applicants also submit the attached corrected forms PTO-1449 from the Information Disclosure Statements filed on July 16, 2004 and January 12, 2004, respectively. The corrected forms PTO-1449 are being submitted to more clearly indicate translation types in the form filed on July 16, 2004 and to correct minor typographical errors for reference Desig. ID "BS" and "BT" in the form filed on January 12, 2004. The attached form PTO-1449 includes the corrected information in bold print.

This statement is being filed after a first Office Action on the merits, but before receipt of a final Office Action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

08/15/2005 JADD01 00000068 10754702

01 FC:1806 180.00 OP

Adjustment date: 11/08/2005 SDIRETA1  
 08/15/2005 JADD01 00000068 10754702  
 01 FC:1806 -180.00 OP  
 Repln. Ref: 11/08/2005 SDIRETA1 0007432300  
 DAA:061050 Name/Number:10754702  
 FC: 9204 \$180.00 CR

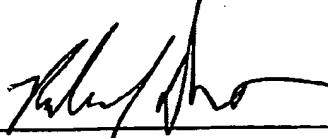
Applicant : Shunpei Yamazaki, et al.  
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Page : 2 of 2

Attorney's Docket No.: 07977-  
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Respectfully submitted,

Date: \_\_\_\_\_

8/18/05

  
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